The Results of Human Action but not of Human Design*1

standing of the distinct task of both social theory and social policy. This ancient Greeks and still forms the greatest obstacle to a proper undersources in a much older erroneous dichotomy which derives from the spontaneous forces of society enters European thought explicitly only European thought. nature (physei) or due to convention (thesei or nomō); and through false alternative that institutions and practices must be either due to fifth century B.C. had struggled with the problem and stated it as the is the misleading division of all phenomena into those which are through the rationalist constructivism of Descartes. But it has its Aristotle's adoption of this division it has become an integral part of 'natural' and those which are 'artificial'.2 Already the sophists of the The belief in the superiority of deliberate design and planning over the

describe either the contrast between something which was independent the present day constantly confused. Those terms could be used to definitions is adopted that were never clearly distinguished and are to the other of the two terms, according as to which of two possible include a large and distinct group of phenomena either under the one or It is misleading, however, because those terms make it possible to

* A French translation of this essay was published in: Les Fondements Philosophiques des Systèmes Economiques. Textes de Jacques Rueff et essais rédigés en son honneur., Paris 1967.

1 Adam Ferguson, An Essay on the History of Civil Society, London, 1767, p. 187: 'Nations

singled out as due to human action but not to human design either as institutions which in the eighteenth century Adam Ferguson at last clearly or to describe the contrast between something which had come about of human action and something which was the result of human action, tinctions was adopted. Most thinkers, however, appear to have been natural or as conventional according as one or the other of these disdesign. This double meaning made it possible to represent all those without, and something which had come about as a result of, human hardly aware that there were two different distinctions possible.

consequent on the very failure to recognize it as a spontaneous order, the opposite of what is wanted: as a result of the personification of society, ately the most obvious term which should be available for that purpose, seems to be urgently necessary that one should be adopted. Unfortunstill suffer, however, from the lack of a generally accepted term to human society and which it is the task of social theory to explain. We fold division which inserted between the phenomena which were natural explicitly dealt with those unintended consequences of human action or appears to have small prospect of establishing itself to fill that urgent scious of the difficulty, some sociologists have attempted to introduce, deliberate concerted action. And the new term 'societal' which, conword 'social' has come to be generally used to describe the aims of namely 'social', has by a curious development come to mean almost the describe this class of phenomena; and to avoid continuing confusion it product of human design, a distinct middle category comprising all those which were artificial or conventional3 in the sense that they were the in the sense that they were wholly independent of human action, and It therefore never became clear that what was really required was a threeitself among those actions which none of the acting persons had intended accounted for the manner in which an order or regularity could form the next two thousand years developed a systematic social theory which those unintended patterns and regularities which we find to exist in Neither the Greeks of the fifth century B.C. nor their successors for

modern social theory in the eighteenth century, the only generally It is important to remember, however, that up to the appearance of

de Bellievre a summer quand on ne sait où l'on va.' quand on ne sait où l'on va.' 2 Cf. F. Heinimann, Nomos und Physis, Basel, 1945. de Bellièvre's statement that Cromwell once told him that 'on ne montait jamais si haut que Cardinal de Retz, presumably the reference (ed. Paris, 1820, Vol. II, p. 497) to President execution of any human design.' Ferguson refers in this connection to the Memoires du stumble upon establishments, which are indeed the result of human action, but not the

³ The ambiguity of the term 'conventional', which may refer either to explicit agreement

Facts' in Patrick Gardiner, ed. Theories of History, London, 1959. The term 'cultural' which hardly do for general usage, since most people would hesitate to include, e.g., cannibalism social anthropologists have adopted as a technical term to describe these phenomena will or to habitual practices and their results, has further contributed to enhance the confusion.

⁴ See F. Stuart Chapin, Cultural Change, New York, 1928 and M. Mandelbaum, 'Societal under 'cultural' institutions.

century, 5 and it was finally under its flag that the late Spanish Schoolmen of deliberate human will. Together with 'organism' it was one of the used to describe an orderliness or regularity that was not the product of the law of nature in the seventeenth century, the term 'natural' was was the term 'natural'. And, indeed, until the rationalist reinterpretation observed regularities in human affairs were not the product of design understood term through which it could be expressed that certain taneously formed social institutions.6 developed the foundations of the genesis and functioning of sponinherited from the stoic philosophy, had been revived in the twelfth in contrast to the invented or designed. Its use in this sense had been two terms generally understood to refer to the spontaneously grown

of the then rising constructivist rationalism interpreted the 'natural' as submerged by another and very different one, a view which in the spirit seventeenth century, however, this older natural law tradition was problems of social and particularly economic theory emerged. In the deliberate acts of legislation had ever interfered that successively all the teenth century, starting from the theory of the common law as much as Cartesian rationalism that the British moral philosophers of the eighthe product of designing reason.7 It was finally in reaction to this It was through asking how things would have developed if no

⁶ Cf. particularly the account in Sten Gagnét, Studien zur Idengeschiehte der Gesetzgehung, Uppsala, 1960, pp. 225–40 of the work of Guillaume des Conches, especially the passage quoted p. 231: 'Et est positiva que est ab hominibus inventa.... Naturalis vero que nonest homine inventa.

of virtue or the avoiding of vice, that action should be commanded or forbidden, which the natural law commands or forbids. "Hence," Molina continues, "what is commanded or forbidden results from the nature of the case and not from the arbitrary will (ex voluntate but very handy scholastic term which means very much "in the nature of the case" - because et libito) of the legislator." from the very nature of the thing (ex ipiamet natura rei) it follows that, for the preservation usum, interdum pro solo hominum beneplacito et arbitrio'. In an interesting but unpublished doctoral thesis of Harvard University, W. S. Joyce, The Economics of Louis de stantiis, quibus variatur, atque ab hominum affectu, ac aestimatione, comparatione diversum seclusa quacumque humana lege eo decreto consurgit, dependetur tamen a multis circumdisp. 347, No. 3, where he says of natural price that 'naturale dicitur, quoniam et ipsis rebus, 'Molina explains that unlike positive law, natural law is "de objecto"—an untranslatable Molina, 1948 (p. 2 of the Appendix 'Molina on Natural Law'), the author rightly says that 6 See particularly Luis Molina, De iustitia et iure, Cologne, 1596-1600, esp. tom.

necessary for the proper moulding of morals, Cf. also ibid., p. 149: For right reason of this sort is nothing but the law of nature itself already known. proofs, but certain definite principles of action from which spring all virtues and whatever is is meant here that faculty of the understanding which forms trains of thought and deduces clearly shown by a passage in John Locke's early Essays on the Law of Nature (ed. by W. von Leyden, Oxford, 1954, p. 111) in which he explains that 'By reason, however, I do not think 7 The change in the meaning of the concept of reason which this transition involves is

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undesigned results of individual action its central object, and in particular provided a comprehensive theory of the spontaneous order of the from that of the law of nature, built up a social theory which made the

the 'invisible hand' by which 'man is led to promote an end which was no other this 'anti-rationalist' reaction is due was Bernard Mandeville.8 insight into the object of all social theory, and it was not until a century part of his intention', 11 however, once more submerged this profound with David Hume, 10 Josiah Tucker, Adam Ferguson, and Adam Smith. But the full development comes only with Montesquieu" and particularly later that Carl Menger at last resuscitated it in a form which now, yet The uncomprehending ridicule later poured on the latter's expression of There can be little question that the author to whom more than to any

8 The basic idea is already contained in many passages of the original poems of 1705,

Did something for the common good,

added more than twenty years later to The Fable of the Bees (see ed. by F. B. Kaye, Oxford, 1924, Vol. II, esp. pp. 142, 287–8, and 349–50 and compare Chiaki Nishiyama, *The Theory* of Self-Love. An Essay in the Methodology of the Social Sciences, etc., Chicago Ph.D. thesis, June but the fully developed conception occurs only in the second part of the prose commentary 1960—esp. for the relation of Mandeville's theories to Menger's).

9 On the influence of Mandeville on Montesquieu see J. Dedieu, Montesquieu et

Tradition Politique Anglaise, Paris, 1909.

10 David Hume, Works, ed. by T. H. Green and T. H. Grose, Vol. I and II, A Treatise on Human Nature, Vol. III and IV, Essays, Moral, Political, and Literary, esp. II, p. 296: 'adsaid to be natural as anything that proceeds immediately from original principles; without the intervention of thought and reflection. Though the rules of justice be artificial, they are to do a service to another without bearing him a real kindness'; and II, p. 195: 'all these not the interest, even of bad men, to act for the public good'; as well as II, p. 289: 'I learn III, p. 99: 'if the particular checks and controls, provided by the constitution . . . made it scholastics had given to 'natural' than to the usual meaning of 'artificial'. conception of law as 'artificial reason' which is of course closer to the meaning the later that Hume's use of 'artificial' in this connection derives probably from Edward Coke's understand what is common to any species, or even if we confine it to mean what is innot arbitrary. Nor is the expression improper to call them Laws of Nature; if by natural we II, p. 258: 'where an invention is obvious and absolutely necessary, it may as probably be 'artificial' precisely what the older natural law theorists had described as 'natural', cf. esp. to contemporary natural law doctrines, he has chosen to describe as 'artifact', 'artifice', and the terminological difficulties into which Hume is led because, as a result of his opposition institutions arise merely from the necessity of human society.' It is interesting to observe vantageous to the public though it be not intended for that purpose by the inventors'; also Hume', reprinted in this volume. Professor Bruno Leoni has drawn my attention to the fact separable from the species.' Cf. my essay on 'The Legal and Political Philosophy of David

11 Adam Smith, An Inquiry into the Nature and Causes of the Wealth of Nations (1776), Bk

IV, ii, ed. E. Cannan, London, 1904, Vol. I, p. 421.

another eighty years later, seems to have become widely accepted, ¹² at least within the field of social theory proper.

There was perhaps some excuse for the revulsion against Smith's formula because he may have seemed to treat it as too obvious that the order which formed itself spontaneously was also the best order possible. His implied assumption, however, that the extensive division of labour of a complex society from which we all profited could only have been brought about by spontaneous ordering forces and not by design was largely justified. At any rate, neither Smith nor any other reputable author I know has ever maintained that there existed some original harmony of interests irrespective of those grown institutions. What they did maintain, and what one of Smith's contemporaries, indeed, expressed much more clearly than Smith himself ever did, was that institutions had developed by a process of the elimination of the less effective which did bring about a reconciliation of the divergent interests. Josiah Tucker's claim was not that 'the universal mover of human nature, self love' always did receive, but that 'it may receive such a direction in this

and adds in a note that 'undesigned social institutions may emerge as unintended consequences of rational actions'; as well as in The Open Society and its Enemies, 4th ed., Princeton, 1963, Vol. II, p. 93, where he speaks of 'the indirect, the unintended and often the unwanted byedition, London, 1937, p. 65), where he speaks of 'the undesigned results of human action' designed results of many men'. From this it appears to have been adopted by Karl Popper, 25) where I argued that the aim of social studies is 'to explain the unintended or un-August 1942, p. 276 (in the reprint in The Counter-Revolution of Science, Glencoe, Ill., 1952, p. from my own article on 'Scientism and the Study of Society', Economica, N. S. IX/35, Sociology, Urbana, 1963, p. 158). The more recent revival of this conception seems to date translation of this work by F. J. Nock, ed. by L. Schneider, Problems of Economics and beabsichtigte sociale Resultante individuell teleologischer Faktoren' (in the English Ökonomie insbesondere, Leipzig, 1883, p. 182: 'die unbeabsichtigte Resultante individueller, d.i. individuellen Interessen verfolgender Bestrebungen der Volksglieder . . . die unsophical Association, Eastern Division, Vol. 1), Philadelphia, 1952, p. 54, where he says that mention only the authors to whom Marx was unquestionably indebted.) The conception is nearly all our actions'. The idea was clearly expressed by Adam Ferguson and Adam Smith, to was Marx who first conceived social theory as the study of the unwanted social repercussions of products of such actions' (i.e., 'conscious and intentional human actions'). (I cannot agree, however, with the statement, *ibid.*, p. 323, based on a suggestion of Karl Polanyi, that 'it 'The Poverty of Historicism', Economica, N. S. XI/3, August 1944, p. 122 (in the book conception of 'The unanticipated consequences of purposive social action' (see his article under that title in *American Sociological Review*, 1936, and the further discussion in *Social* nevertheless the central task of social science is the explanation of phenomena as the unalso used (though perhaps not adopted) by Ernest Nagel, 'Problems of Conceptand Theory Formation in the Social Sciences', in Science, Language and Human Rights (American Philo-Theory and Social Structure, rev. ed. Glencoe, Ill., 1957, pp. 61-2). intended outcome of springs of action'. Similar though not identical is K. R. Merton's social phenomena are indeed not generally the intended results of individual actions; 12 Carl Menger, Untersuchungen über die Methode der Socialwissenschaften und der Politischen

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case (as in all others) as to promote the public interest by those efforts it shall make towards pursuing its own.'18

The point in this which was long not fully understood until at last Carl Menger explained it clearly, was that the problem of the origin or formation and that of the manner of functioning of social institutions was essentially the same: the institutions did develop in a particular way because the co-ordination of the actions of the parts which they secured proved more effective than the alternative institutions with which they had competed and which they had displaced. The theory of evolution of traditions and habits which made the formation of spontaneous orders possible stands therefore in a close relation to the theory of evolution of the particular kinds of spontaneous orders which we call organisms, and has in fact provided the essential concepts on which the latter was built.¹⁴

arbitrary will but compatibility with a whole system of inherited but process of growth in which the test of what is justice was not anybody's structions of 'natural reason' rather than as the undesigned outcome of a conception which interpreted the law of nature as the deductive conagainst which modern jurisprudence reacted was the perverted rationalist prides itself to have at last escaped from all influence of that 'metarules of justice as the product of deliberate invention or design, and even still clings to the essentially anthropomorphic view which regards al unaffected by it. The philosophy dominant in this field, legal positivism, much greater practical influence, jurisprudence, is still almost wholly have firmly established themselves, another branch of knowledge of into much more unscientific fictions, but these fictions have in effect regarded as a metaphysical conception has not only driven legal theory partly inarticulated rules. Yet the fear of contamination by what was have seen, all theoretical understanding of social phenomena springs physical' conception of 'natural law' from the pursuit of which, as we This may be accounted for by the fact that the natural law concept But if in the theoretical social sciences these insights appear at last to

¹³ Josiah Tucker, The Elements of Commerce (1756), reprinted in Josiah Tucker: A Selection from his Economic and Political Writings, ed. R. L. Schuyler, New York, 1931, p. 59. Cf. also my Individualism and Economic Order, London and Chicago, 1948, p. 7.

¹⁴ Carl Menger, 1.c., p. 88: 'Dieses genetische Element ist untrennbar von der Idee theoretischer Wissenschaften'; also C. Nishiyama, 1.c. It is interesting to compare this with the insight from the biological field stressed by L. von Bertalanffy, Problems of Life, New York, 1952, p. 134: 'What are called structures are slow processes of long duration, functions are quick processes of short duration. If we say that a function such as a contraction of a muscle is performed by a structure, it means that a quick and short processwave is superimposed on a long-lasting and slowly running wave.'

telligible instrument for the inducement of a spontaneous order. deprived law of all that connection with justice which made it an in-

state derives from pre-existing conceptions of justice, and no system of even an organized state: the whole authority of the legislator and of the articulated law can be applied except within a framework of generally sistently put into practice. Law is not only much older than legislation or of the will of a legislator is both factually false and cannot even be conwilled and that the existence of law presupposes a previous articulation eyes to the existence of a framework of such unarticulated rules from cognized rules of justice which it presupposes or even succeeded in disonly has no made law ever succeeded in replacing all the already rerules. Not only does all made law aim at justice and not create justice, not been and there never can be a 'gap-less' (liickenlos) system of formulated recognized but often unarticulated rules of justice. 15 There never has which we owe to constructivist rationalism. morphic interpretation of grown institutions as the product of design positivist conception of law derives from that factually untrue anthropowhich the articulated law receives its meaning.16 The whole of this pretation of law would become wholly unintelligible if we closed our pensing with explicit references to such unarticulated conceptions of justice; but the whole process of development, change and inter-The whole conception, however, that law is only what a legislator has

sistent representative of contemporary legal positivism has put it, 'From found and not merely decreed by the will of a legislator. If law is wholly fettered and guided solely by his concrete interests. As the most conterms.¹⁷ The will of the duly authorized legislator is then wholly unlaw is just by definition and unjust law becomes a contradiction in the product of deliberate design, whatever the designer decrees to be leads necessarily to the destruction of all belief in a justice which can be beings and hence conflicts of interests. The solution of these conflicts the point of view of rational cognition, there are only interests of human The most serious effect of the dominance of that view has been that it

15 Cf. Paulus (Dig. 50.17.1) 'non ex regula ius sumatur, sed ex iure quod est regula fiat'; and Accursius (Gloss 9 to Dig. I.1.1.pr.) 'Est autem ius a iustitia, sicut a matre sua, ergo prius fuit iustitia quam ius.

German pandectists, would become unintelligible if law were to be considered as a body of 'The whole history of legal science, particularly the work of the Italian glossators and 16 Cf. H. Kantorowicz, The Definition of Law, ed. A. H. Campbell, London, 1958, p. 35:

commands of a sovereign.' 17 Cf. T. Hobbes, Leviathan, Ch. 30, ed. M. Oakeshott, London, 1946, p. 227: 'no law can be unjust.

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another, or by a compromise between the conflicting interests.'18 can be brought about either by satisfying one interest at the expense of

erated what had already been accomplished in this direction. after a century of the dominance of positivism has almost entirely oblittheory to the understanding of law has, however, yet to be accomplished the deliberate will of men. The task of applying the insight of social urally' (i.e., undesignedly) given were nearer the truth and therefore more gradually to approach (though perhaps never to reach) absolute justice. 20 ing all rules which are incompatible with the rest of the system, 19 negative criterion of justice which enables us, by progressively eliminatwere ever expressed in words, we obtain, though not a positive, yet still a and which guided people's thinking and actions even before those rules 'scientific' than those who insisted that all law had been set ('posited') by tested within a framework of rules of justice which nobody has invented realize that law is never wholly the product of design but is judged and rationalist constructivism cannot arrive at any criterion of justice. If we This means that those who endeavoured to discover something 'nat-All that is proved by this argument, however, is that the approach of

made the revival of their conceptions possible. 21 That in this respect the main channel through which those ideas reached Carl Menger and in what we now call social anthropology and even appear to have been Scottish philosophers of the eighteenth century, continued their efforts largely based on the conception of a grown order elaborated by the had begun to affect legal theory; Savigny and his older historical school, Because there has been a period in which those insights of social theory

essay 'Grundlegung zu einer Strukturtheorie des Rechts', Abbandlungen der Geistes- und Sozialwissenschaftlichen Klasse der Akademie der Wissenschaften und der Literatur in Mainz, Jg. 18 Hans Kelsen, What is Justice?, University of California Press, 1960, pp. 21–2.

19 On the problem of compatibility of the several rules as test, see now the interesting studies by Jürgen von Kempski, collected in Recht und Politik, Stuttgart, 1965, and his

^{1961,} No. 2.

20 The conception of a negative test of the justice of legal rules (essentially of the kind at possession of the members of a given civilization, is one of the central points of a book on of justice, of which at any one time a large part is always the common and undisputed which I am at present working. justice by eliminating all inconsistencies or incompatibilities from the whole body of rules

Sir Frederick Pollock, Oxford Lectures and Other Discourses, London, 1890, pp. 41-2: Gesellschaft im Gegensatz zum Staate bezeichnen, allerdings in nationaler Begrenzung'; and verstehen, was immer verkannt wird, unter Volk oder Nation dasselbe, was wir heute als E. Ehrlich, Juristische Logik, Tübingen, 1918, p. 84: 'Burke, Savigny und Puchta . . . first sources of information. On Savigny and his school, cf. also the acute observations of David Hume) appear to have reached Savigny see H. Ahrens, Die Rechtsbilosophie oder das Naturrecht, 4th ed. Wien, 1854, p. 64. This book was probably also one of Carl Menger's 21 For the channels through which the ideas of Burke (and through Burke, those

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Savigny continued or resumed the aim of the older natural law theorists has been concealed by his rightly directing his argument against the rationalist natural law theories of the seventeenth and eighteenth centuries. But though he thereby helped to discredit that conception of natural law, his whole concern had been to discover how law had arisen largely without design, and even to demonstrate that it was impossible by design adequately to replace the outcome of such natural growth. The natural law which he opposed was not the natural law to be discovered but the natural law which was deductively derived from natural reason.

But if for the older historical school, though they spurned the word 'natural', law and justice were still given objects to be discovered and explained, the whole idea of law as something objectively given was abandoned by positivism, according to which it was regarded as wholly the product of the deliberate will of the legislator. The positivists no longer understood that something might be objectively given although it was not part of material nature but a result of men's actions; and that law indeed could be an object for a science only in so far as at least part of it was given independently of any particular human will: it led to the paradox of a science which explicitly denied that it had an object.²² Because, if 'there can be no law without a legislative act', ²³ there may arise problems for psychology or sociology but not for a science of law.

The attitude found its expression in the slogan which governed the whole positivist period: that 'what man has made he can also alter to suit his desires'. This is, however, a complete non-sequitur if 'made' is understood to include what has arisen from man's actions without his design. This whole belief, of which legal positivism is but a particular form, is entirely a product of that Cartesian constructivism which must deny that there are rules of justice to be discovered because it has no

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room for anything which is 'the result of human action but not of human design' and therefore no place for social theory. While on the whole we have now successfully expelled this influence from the theoretical sciences of society—and had to, to make them possible—the conceptions which today guide legal theory and legislation still belong almost wholly to this pre-scientific approach. And though it was French social scientists who earlier than others had clearly seen that from the famous Discours de la Méthode 'il était sorti autant de déraison sociale et d'aberrations métaphysiques, d'abstractions et d'utopies, que de données positives, que s'il menait à Comte il avait aussi mené à Rousseau', ²⁴ it would seem at least to the outsider that in France, even more than elsewhere, law is still under its influence.

SUPPLEMENTARY NOTES

- 1. Sten Gagnèr, Studien zur Idengeschichte der Gesetzgebung, Uppsala 1960, pp. 208 and 242, shows that the terms 'natural law' and 'positive law' derive from the introduction by Gellius in the second century A.D. of the latin adjectives naturalis and positivus to render the meaning of the Greek nouns physis and thesis. This indicates that the whole confusion involved in the dispute between legal positivism and the theories of the law of nature traces back directly to the false dichotomy here discussed, since it should be obvious that systems of legal rules (and therefore also the individual rules which have meaning only as part of such a system) belong to those cultural phenomena which are 'the result of human action but not of human design'. See on this also chapter 4 above.
- 2. Herr Christoph Eucken has drawn my attention to the fact that the contrast that is drawn in the opening sentence of Herodotus' Histories between what has arisen from [the actions of] men (ta genomena ex anthrôpôn) and their great and astounding works (erga megala kai thômasta) suggests that he was more aware of the distinction here made than was true of many of the later ancient Greeks.

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doctrine of evolution is nothing else than the historical method applied to the facts of nature, the historical method is nothing else than the doctrine of evolution applied to human societies and institutions. When Charles Darwin created the philosophy of natural history(...), he was working in the same spirit and towards the same ends as the great publicists who, heeding his fields of labour as little as he heeded theirs, had laid in the patient study of historical facts the bases of a solid and rational philosophy of politics and law. Savigny, whom we do not yet know and honour enough, or our own Burke, whom we know and honour but cannot honour too much, were Darwinians before Darwin. In some measure the same may be said of the great Frenchman Montesquieu, whose unequal but illuminating genius was lost in a generation of formalists.' The claim to have been 'Darwinians before Darwin' was, however, first advanced by the theorists of language (see August Schleicher, Die Darwin's Philosophy of Language', Frazer's Magazine, Vol. VII, 1893, p. 662) from whom Pollock seems to have borrowed the phrase.

^{1893,} p. 662) from whom Pollock seems to have borrowed the phrase.
22 Cf. Leonard Nelson, Rechtswissenschaft ofme Recht, Leipzig, 1917.

²³ John Austin, Jurisprudence, third edition, London, 1872, p. 555.

²⁴ Albert Sorel, 'Comment j'ai lu la "Réforme Sociale", Réforme Sociale, 1st November, 1906, p. 614, quoted by A. Schatz, L'individualisme économique et vocide, Paris, 1907, p. 41, which together with H. Michel, L'Idée de l'Elat, 3rd ed., Paris, 1898, is most instructive on this influence of Cartesianism on French social thought.